



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

Serial No. 10/640,853
Confirmation No. 9178
Group No. 3763

(51) International Patent Classification 5 : A61L 29/00, C08J 7/04	A1	(11) International Publication Number: WO 92/11877 (43) International Publication Date: 23 July 1992 (23.07.92)
(21) International Application Number: PCT/US91/09531 (22) International Filing Date: 27 December 1991 (27.12.91) (30) Priority data: 635,914 28 December 1990 (28.12.90) US (60) Parent Application or Grant (63) Related by Continuation US 635,914 (CIP) Filed on 28 December 1990 (28.12.90) (71) Applicant (for all designated States except US): UNION CARBIDE CHEMICALS & PLASTICS TECHNOLOGY CORPORATION [US/US]; 39 Old Ridgebury Road, Danbury, CT 06817-0001 (US).		(72) Inventors; and (75) Inventors/Applicants (for US only) : FAN, You-Ling [US/US]; 3 Heritage Court, East Brunswick, NJ 08816 (US). MARLIN, Lawrence [US/US]; 7 Wight Street, Bridgewater, NJ 08807 (US). (74) Agent: BISHOP, Timothy, N. Union Carbide Chemicals & Plastics Technology Corporation; 39 Old Ridgebury Road, Danbury, CT 06817-0001 (US). (81) Designated States: AT (European patent), AU, BE (European patent), CA, CH (European patent), DE (European patent), DK (European patent), ES (European patent), FR (European patent), GB (European patent), GR (European patent), IT (European patent), JP, LU (European patent), MC (European patent), NL (European patent), SE (European patent), US. Published <i>With international search report.</i> <i>Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>
(54) Title: BIOCOMPATIBLE ABRASION RESISTANT COATED SUBSTRATES (57) Abstract <p>Biocompatible polymeric coatings are provided which have excellent adhesion to a variety of substrate materials. The biocompatible coatings of the invention are comprised of a poly(alkylene oxide), a poly(carboxylic acid) or a poly(N-vinyl lactam) or mixtures thereof. The polymers adhere to the substrate through a polyisocyanate coating which bonds with the biocompatible coating when dried or irradiated or both. The biocompatible polymeric complexes have utility in medical devices such as catheters.</p>		

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BIOCOMPATIBLE ABRASION RESISTANT
COATED SUBSTRATES

RELATED APPLICATION

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This application is a continuation-in-part of our copending application U.S. Serial No. 07/635,914 filed on December 28, 1990.

10 FIELD OF THE INVENTION

This invention relates to coating various substrates with poly(alkylene oxides), poly(carboxylic acids) or poly(N-vinyl lactams). The coatings are biocompatible, abrasion resistant and hydrophilic. The coated substrates of the invention can be used with particular advantage in the medical device, personal care and health care fields.

BACKGROUND OF THE INVENTION

20 For many applications, such as long-term in-dwelling catheters, needles and other medical devices, it is desirable to provide a biocompatible hydrophilic coating to an object which is to be inserted into the body. The coating must also be firmly attached to the substrate such that the coating exhibits good abrasion resistance.

Of the many known hydrophilic coatings, the biocompatibility of poly(N-vinyl lactams), poly(carboxylic acids) and poly(alkylene oxides) such as poly(ethylene oxide) ("PEO"), is well known in the art. All of these polymers have been used in a variety of applications where biocompatibility is required. For example, the biocompatibility of a well known poly(N-vinyl lactam), poly(N-vinyl pyrrolidone) ("PVP") is mentioned in the article by S.C. Johnson, "Acetylene Derived Polymers", 35 Cosmetics and Toiletries, Vol. 99, pp. 71-84 (June 1984). Poly(ethylene oxide) either in the form of a homopolymer or copolymer has been widely studied and used for medical applications where excellent biocompatibility with animals or humans is required. The biocompatibility of

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poly(ethylene oxide) with blood has been studied and reported in several journals; see for example, the article by George, J.J., "Direct Assessment of Platelet Adhesion to Glass", Blood, Vol. 40, p. 862 (1972).

5 Various methods have been disclosed for adhering poly(carboxylic acids), PVP or PEO coatings to substrates. For example, U.S. Patent No. 4,589,870 discloses a method of applying PVP to a substrate in a solvent blend. The coating renders the substrate hydrophilic.

10 Another such method is described in U.S. Patent No. 4,642,267 which provides a hydrophilic polymer blend. The blend is comprised of a thermoplastic polyurethane having no reactive isocyanate groups and a hydrophilic poly(N-vinyl lactam) such as PVP. The blend can also
15 contain additional polymeric components such as homopolymers or copolymers of monomers such as vinyl chloride, acrylic acid and vinyl alcohol.

A further method for coating a polymer surface with a hydrophilic coating is disclosed in U.S. Patent
20 4,666,437. The process comprises applying a solution of a compound containing at least two unreacted isocyanate groups per molecule to the polymer surface, and evaporating the solvent. Thereafter, a solution of PVP is applied to the treated surface and the coating is cured.

25 PCT application WO 89/09246 (DuPont) discloses structures coated with crosslinked hydrophilic polymers. The structure is first coated with a solution of the hydrophilic polymer, such as PVP, and then the structure is dried. The coating is then crosslinked using a
30 thermally-activated or ultraviolet light-activated free radical initiator or alternatively by irradiating the coated surface. The disclosure notes on page 6 lines 22-24, "that [a] hydrophilic polymer crosslinked in the presence of water may produce an adhesive surface rather
35 than a surface having a low coefficient of friction."

Notwithstanding the teachings of the above references a need exists to provide a more abrasion resistant biocompatible coating on substrates.

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SUMMARY OF THE INVENTION

The present invention provides abrasion resistant, hydrophilic, biocompatible polymer-coated substrates which can be advantageously used in the medical device, personal care and health care fields. The present invention also provides a method for preparing coated substrates.

The method for preparing the coated substrates comprises the steps of:

- 10 a) contacting a substrate with a solution of polyisocyanate in an inert solvent to provide at least a partially coated substrate,
- 15 b) applying to the at least partially coated substrate a second coating comprising at least one polymer selected from the group consisting of a poly(alkylene oxide), a poly(carboxylic acid), and a poly(N-vinyl lactam), contained in a solvent to provide a multiple coated substrate; and
- 20 c) curing the multiple coated substrate by means of ionizing radiation or heat or both; provided that when the multiple coated substrate is cured by heat, the second coating comprises a poly(carboxylic acid) and at least one polymer selected from the group
- 25 consisting of of a poly(alkylene oxide) and a poly(N-vinyl lactam).

DETAILED DESCRIPTION OF THE INVENTION

The polymeric biocompatible coating compositions of this invention are prepared by contacting a substrate with a polyisocyanate such as toluene diisocyanate in an inert solvent, contacting the coated substrate with at least one of the following: a poly(alkylene oxide), a poly(carboxylic acid) or a poly(N-vinyl lactam) in a solvent, and curing the multiple coated substrate by means of ionizing radiation or heat or both.

Previously, high molecular weight poly(alkylene oxides), poly(carboxylic acids) and poly(N-vinyl lactams)

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were difficult to bond to the surface of many materials to provide a coated article having high abrasion resistance. This shortcoming is overcome by the method of the present invention by coating biocompatible polymer(s) on the isocyanate precoat and curing the coating by drying or radiation or both. When the coatings are cured by drying, poly(carboxylic acid) is reacted with the isocyanate and also complexed with poly(alkylene oxide) or poly(N-vinyl lactam) or both. The resulting biocompatible polymeric complex is securely bonded to the substrate and is abrasion resistant.

Curing the polymers by irradiation results in a cross-linked polymeric coating. The cross-linked coating provided by the irradiation of the polymers is very abrasion resistant. The use of ionizing radiation to cure the coating is the preferred embodiment of the present invention.

Cross-linking of a hydrophilic polymer may lead to a loss of surface lubricity due to the loss of mobility of the macromolecules on the surface. However, by employing the method of the present invention the limited surface macromolecules not only form a cross-linked network which improves the abrasion resistance of the coating, but at the same time can provide a lubricious surface depending on the radiation dose. Consequently a medical device, such as a catheter, coated in accordance with the method of this invention can retain a biocompatible surface for long periods of time.

Application of the polyisocyanate solution and the poly(carboxylic acid), poly(alkylene oxide) or poly(N-vinyl lactam) solution can be effected by a variety of methods which include, but are not limited to, dipping, spraying, electrical deposition, painting and the like. Dip coating of the substrate in the respective solutions is the preferred method. It is to be understood that the above solutions also include respective dispersions and emulsions of the polyisocyanate and polymers.

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A wide variety of polyisocyanates can be employed in preparing the coatings of the present invention and include, but are not limited to, toluene-2,4-diisocyanate, toluene-2,6-diisocyanate, commercial mixtures of
5 toluene-2,4- and 2,6-diisocyanates, 4,4'-diphenylmethane diisocyanate, cyclohexylene-1,4-diisocyanate, m-phenylene diisocyanate, 3,3-diphenyl-4-biphenylene diisocyanate, 4,4-biphenyl diisocyanate, 1,6-hexamethylene diisocyanate, 1,5-naphthalene diisocyanate, cumene-2,3-diisocyanate,
10 2,4-diisocyanatodiphenylether, isocyanate end-capped prepolymers and adducts, isocyanate end-capped polyfunctional aromatic adducts, isocyanate end-capped polyfunctional aliphatic adducts, and two component systems such as end-capped aliphatic polyester polyols and their
15 mixtures with different polyisocyanates as described above.

Illustrative of isocyanate end-capped adducts are the reaction products of toluene-2,4-diisocyanate, 4,4'-diphenylmethane diisocyanate, polymethylenepolyphenyl isocyanate, or 1,5-naphthalene diisocyanate, with 1,2-poly-
20 propylene glycol, polytetramethylene ether glycol, 1,4-butanediol, 1,3-butanediol, poly(1,4-oxybutylene) glycol, caprolactone, adipic acid esters, phthalic anhydride, ethylene glycol, diethylene glycol, and the like.

25 Suitable solvents for applying the polyisocyanate precoat to the substrate in the first step of the process of this invention include: methyl ethyl ketone, ethyl acetate, ethyl lactate, chloroform, trichloroethylene, dichloromethane, hexane, heptane, toluene, and mixtures
30 thereof, which do not react with isocyanates under the coating conditions. The preferred solvents are toluene and methyl ethyl ketone.

Alternatively, the polyisocyanates can be either dispersed in a solvent/non-solvent mixture to form a
35 dispersion or emulsified to form an oil-in-water emulsion. When an emulsion is used, the reactive isocyanate groups need to be protected by suitable chemical groups known to those skilled in the art.

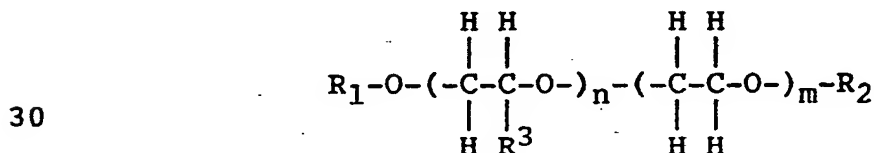
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The second coating which is applied to the substrate in accordance with the teachings of the present invention, is a poly(N-vinyl lactam), a poly(carboxylic acid), a poly(alkylene oxide) or mixtures thereof.

5 Mixtures of the poly(carboxylic acid), poly(alkylene oxide) and poly(N-vinyl lactam) include the use of a combination of two or more of the above polymers in a single solvent. The solubility of the polymers in a given solvent will vary based on the concentration of the
10 polymers employed. Selection of suitable solvents and polymer concentrations is within the skill of the art.

In addition, the use of mixtures also includes the application of two or more polymers to the substrate in separate solutions prior to the final drying or
15 irradiation procedure. This allows two or more of the polymers to be applied to the substrate either simultaneously as separate solutions or dispersions or sequentially in separate coating steps prior to the curing of the polymer(s). The multiple coated substrate is then
20 dried or irradiated in accordance with the method of the invention.

Even though all poly(alkylene oxides) can be useful to different degrees, poly(ethylene oxide) polymers are preferred. Illustrative poly(alkylene oxide) polymers
25 suitable for the purpose of this invention are represented by the following formula:



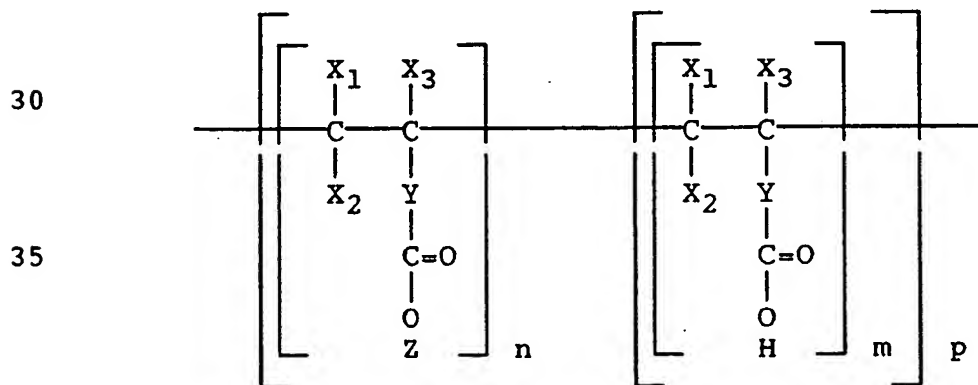
wherein: R_1 and R_2 are hydrogen, alkyl groups or alkyl-substituted aryl groups; R^3 is an alkyl group having 1 to
35 8 carbons, R^3 preferably being methyl; n is an integer from 0 to 20,000; and m is an integer from about 2500 to about 180,000, provided that the values of n and m are such that the poly(alkylene oxide) is water-soluble or, at least, water swellable.

Examples of high molecular weight poly(alkylene oxides) suitable for use in this invention include the POLYOX® Water Soluble Resins, such as POLYOX® WSR N-80, WSR N-750, WSR N-3000, WSR N-60K, WSR-301, and Coag. 5 grade, available from Union Carbide Chemicals and Plastics Company Inc., Danbury, CT.

Of the poly(N-vinyl lactams) which may be employed in the method of this invention, poly(N-vinyl pyrrolidone) is preferred. Other suitable poly(N-vinyl 10 lactams) are those containing lower alkoxy or lower alkyl substituents.

The poly(N-vinyl pyrrolidones) suitable for the purpose of this invention, have a molecular weight between about 100,000 and about 3,000,000. Such polymers are 15 readily produced by solution polymerization of N-vinyl pyrrolidone in the presence of a suitable free radical initiator. Examples of high molecular weight PVP include Plasdone K-90 and PVP K-60, both available from GAF Corporation.

20 Another class of polymers which can be used in this invention are the poly(carboxylic acids). They are useful in either their free acid or partially neutralized acid forms. Preferred poly(carboxylic acids) are those encompassed by the following formula which includes both 25 the free acid and partially neutralized forms:



40 where:

$n = 0-0.95$ mole fraction of neutralized acid moieties;

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$m = 0.05-1.0$ mole fraction of acid moieties with the proviso that $n+m = 1$;

X_1, X_2, X_3 are each a hydrogen atom or a suitable monovalent organic group, such as lower (C_1-C_4) alkyl groups or cycloalkyl or aryl groups of up to 8 carbon atoms, and wherein the X groups are such that the polymer is water soluble;

Y is either a single bond or any suitable divalent organic radical, such as a hydrocarbon group of up to 8 carbon atoms, provided it does not adversely affect the water solubility of the polymer;

Z is either a metallic ion such as any of the alkali metal cations or a suitable ammonium ion; and

p is a very large number such that the polymer has a molecular weight between about 200,000 and about 5,000,000.

Even though all poly(carboxylic acid) homopolymers are useful in varying degrees, the high molecular weight polymers are more desirable for use in this invention. The more useful are those having molecular weights within the range from about 200,000 to about 5,000,000. Especially useful are the poly(carboxylic acid) polymers having a molecular weight from about 1,000,000 to about 3,000,000.

Representative carboxylic acid-containing homopolymers include, but are not limited to, poly(acrylic acid), poly(methacrylic acid), poly(isocrotonic acid), and the like. The poly(carboxylic acid) of this invention can be either a solution or a colloidal dispersion in the coating medium. The preferred poly(carboxylic acid) polymer is a poly(acrylic acid) having a molecular weight of from about 200,000 to about 5,000,000. Particularly preferred poly(carboxylic acids) are those having a molecular weight from about 1,000,000 to about 3,000,000.

Also suitable for use in this invention are poly(carboxylic acid) polymers containing comonomer units. Such polymers are produced by copolymerizing an olefinic acid such as acrylic with one or more other

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ethylenically unsaturated monomers to produce copolymers containing carboxylic acid moieties. Examples of such copolymers include Carboaset® and Surlyrn® available from by B.F. Goodrich Chemical Co. and E.I. DuPont de Nemours and Company, Inc. respectively. Copolymers containing water-insoluble units as well as carboxylic acid units can be mixed with the homopolymers if so desired, as long as they are biocompatible.

To prepare a seed-free poly(carboxylic acid) solution, it is advantageous to add a small amount of surfactant in a solvent before mixing with the polymer. Any soluble surfactant or a mixture of surfactants in suitable solvents such as dimethyl formamide and acetonitrile may be used. The preferred surfactants are soluble non-ionic surfactants such as polyoxyethylene sorbitan fatty acid esters, polyoxyethylene acids, polyoxyethylene alcohols, fluorinated alkyl esters, fluorinated alkoxyates and their mixtures.

Due to the high molecular weight of the poly(carboxylic acid) polymers preferred for use in the present invention, their solution viscosities may be too high to be suitable for some coating processes. It is advantageous in these instances to convert the polymer solution to a colloidal dispersion by mixing with one or more non-solvents. Examples of such non-solvents are tertiary alcohols, ketones, aliphatic ethers, and aliphatic and aromatic hydrocarbons. The preferred non-solvents are acetone, methyl ethyl ketone (MEK) and tertiary butyl alcohol.

Alternatively, the poly(carboxylic acid) may be emulsified to a water-in-oil emulsion. An example for forming such a water-in-oil emulsion is described in United States Patent No. 4,618,647.

If desired, one can use other oxygen-containing polymeric compounds in combination with the poly(N-vinyl lactam), poly(carboxylic acid) or poly(alkylene oxide) as long as such other compounds are biocompatible. Such compounds include nonionic, amphoteric, anionic or cation

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polymers such as methyl cellulose, carboxymethylcellulose, sodium carboxymethylcellulose, hydroxyethylcellulose, quaternarized hydroxyethyl cellulose, polyvinyl alcohol, maleic anhydride-vinyl ether copolymers, ethylene-maleic anhydride copolymers, dextran, gelatin, hydropropyl-cellulose, hydroxyethylcarboxymethyl-cellulose, propylene glycol alginate, chitosan and derivatives, hyaluronic acid and its salts, and the like.

Other additives can be employed in the coatings such as, stabilizers, surfactants, antioxidants, antimicrobial agents, colorants, biological components and the like. For example, in catheters which are inserted into blood vessels, it may be desirable to have contained in the coating an antithrombogenic agent such as heparin, to avoid blood clot formation during the surgical procedure. The antithrombogenic agent can be used either as an additive or as a chemically bonded moiety of the final coating.

Antimicrobial agents which can be either used as an additive or as a chemically bonded moiety of the coating include silver nitrate, chlorhexidine gluconate or chlorhexidine acetate. Similarly pharmaceutical or therapeutic agents described in U.S. Patent No. 4,946,870 may also be advantageously used in the present invention.

In practice it has been found that excellent adhesion and abrasion resistance properties are obtained when the total thickness of the isocyanate coating and top coating applied to the substrates in accordance with the teachings of this invention is from the submicron range to below 100 microns, preferably below ten microns.

The concentration of the isocyanate can vary depending upon the particular components employed, their solubility as well as other considerations. In general, the polyisocyanate concentration in the initial coating is at least about 0.01% by weight. If the polyisocyanate is in liquid form, it can be employed without a solvent. However, in practice, it is preferred to employ the polyisocyanate in a solvent in a concentration of from

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about 0.1 to about 20% by weight, and more preferably from about 1 to about 5% by weight.

In practice the poly(carboxylic acid), poly(alkylene oxide) or poly(N-vinyl lactam) coating is usually applied in a thickness of from about 0.1 to about 50 microns and more preferably from about 0.5 to about 10 microns. The solvent system for application of this coating is one which does not adversely effect the durability of the final coating and can include both organic and aqueous systems. Illustrative compounds which can be utilized as a solvent for the final coating include water and organic solvents such as, methylene chloride, 1,1-dichloroethane, 2,2-dichloroethane, 1,1,1-trichloroethane, 1,1,2-trichloroethane, 1,1,2,2-tetrachloroethane, toluene, benzene, chloroform, cyclohexane, pyridine, acetone, nitromethane and the like.

In general the polymeric compound comprising the poly(carboxylic acid), poly(N-vinyl lactam) or poly(alkylene oxide) is contained in a solvent in a concentration of from about 0.01 to about 10 weight percent and more preferably from about 0.1 to about 2 weight percent based on the total weight of solution.

The drying temperatures and times are not necessarily critical. It has been found that the coated substrate can be dried at temperatures of from about 20 to about 150°C and more preferably from about 50 to about 95°C. Drying periods can range from a few seconds to 24 hours or more.

Although not required, the coatings can be dried prior to the application of the second coating or prior to exposure to the radiation source. In a preferred embodiment the substrate is oven dried after the initial isocyanate coating and after the second layer is applied, before the substrate is irradiated.

The suitable sources of ionizing radiation used for the irradiation of the coated substrates include gamma rays, α -particles, β -particles, cobalt-60, and an electron

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beam. The preferred ionizing-radiation source is an electron beam generated by a Van De Graff generator.

The irradiation dosage suitable for the purpose of this invention may vary over a broad range depending on the intended end-use application of the coated substrate. At the low end, a dosage is needed to transform the coating to a gel-like consistency. At the high end, the dosage must be controlled such that the coating does not become brittle or degraded. A durable and lubricious coating can be achieved by intermediate radiation dosages. The preferred dosage ranges between about 0.5 to about 5 mrad.

Water may be present during the irradiation. In a preferred embodiment, the substrate is irradiated in the presence of water. Water may be incorporated into the coating either before or during the irradiation by any convenient method such as dipping, spraying, or brushing. While a wide range of water content in the coating may be used, it is desirable that sufficient water in the coating be maintained such that a gel-like consistency is achieved upon irradiation. It has been surprisingly discovered that when the substrate is irradiated either in the presence or absence of water, the surface can be advantageously employed for coating medical devices. The teaching of the prior art discloses that irradiation in the presence of water provides tacky, undesirable surfaces.

Accordingly, the method of the present invention can be used for the coating of medical devices, where a slippery exterior and/or interior, are necessary or desirable to minimize injury to tissues and to aid in manipulation of the devices during surgical procedures.

Illustrative medical devices that can be coated by the method of the invention are catheters, needles, guide wires, prophylactic devices, tubing, delivery systems, filters, sheaths, and other accessories employed in medical diagnostics, drainage, dilation, occlusion, oncology, ophthalmology, orthopedics, reconstructive surgery, anesthesiology, dermatology, dental, ENT, vascularport

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infusion devices, phlebotomy, critical care vascular access, and the like.

Since the coating provides a biocompatible surface it is possible to entirely coat a substrate which itself is not biocompatible and therefore utilize the desirable features of the substrate material which might not otherwise be biocompatible. For example, the fabrication of prosthetic devices, catheters, guide wires and the like used in the medical field has largely been limited to materials which are known to be inert and which do not affect the body. The method of the present invention renders materials which are not biocompatible, suitable for use in medical devices and other related applications since body tissue is in contact with a biocompatible coating.

Accordingly, substrates such as polyacrylates, nylon, polypropylene, silicone rubbers, thermoplastic rubbers (such as butadiene-styrene copolymers), polyesters (such as Dacron), stainless steel, cobalt-chromium alloys, platinum, polyethylene, pyrolytic carbon disks or balls, segmented polyurethanes, alumina, polysulfone and the like, can be employed as the substrates.

The Examples which follow are presented for the purpose of illustrating the invention and are not to be construed as unduly limiting the claims. All parts and percentages are by weight unless otherwise specified.

Polyisocyanate Solution A: This polyisocyanate solution was prepared by mixing two reaction adducts with toluene.

The first adduct was prepared by mixing 4,4'-diphenylmethane diisocyanate and a polyether polyol to produce an adduct containing 23.5% by weight active isocyanate, an equivalent weight of 182 and a viscosity of 770 centipoise at 25°C. The second adduct was prepared by reacting dicyclohexylmethane-4,4-diisocyanate and a polyether polyol to produce an adduct containing 12.1% by

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weight active isocyanate having a viscosity of 500-1500 centipoise at 25°C (measured as a 60% solution in toluene).

Polyisocyanate Solution A was prepared by mixing 0.9 part of the first adduct with 1.02 parts of the second 5 adduct and 98.08 parts by weight toluene.

Polyisocyanate Solution B: This polyisocyanate solution was prepared by mixing 83.2 parts of methyl ethyl ketone, 15 parts of mineral oil and 1.8 parts of 10 polyisocyanate. The polyisocyanate employed was an aromatic isocyanate end-capped prepolymer, average NCO equivalent weight 182. The resulting clear liquid contained 0.42% by weight isocyanate groups.

15 Polyisocyanate Solution C: This polyisocyanate solution was prepared by mixing 98.2 parts of methyl ethyl ketone and 1.8 parts of the polyisocyanate employed in making Polyisocyanate Solution B. The solution contained 0.42% by weight isocyanate groups.

20

PEO Solution A in Water: A 2% PEO solution in water was prepared by gentle mixing of 10 gms of POLYOX® Coagulant grade (molecular weight of 5,000,000) in 490 gms of distilled water at room temperature. The finished 25 solution possessed a Brookfield viscosity of 5630 cps (Model LVT, 30 rpm, at 25°C).

PEO Solution B in Water: A 3% PEO solution in water was prepared by gentle mixing of 15 gms of POLYOX® 30 Coagulant grade in 485 gms of distilled water at room temperature. The finished solution possessed a Brookfield viscosity of 12,600 cps (Model LVT, 30 rpm, at 25°C).

PEO Solution C in Dichloromethane: A 1.5% PEO 35 solution in dichloromethane was prepared by gentle mixing of 7.5 gms of POLYOX® Coagulant grade in 492.5 gms of dichloromethane. The finished solution was clear and had

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a Brookfield viscosity below 100 cps (Model LVT, 50 rpm, at 25°C).

Poly(acrylic acid) Dispersion: This dispersion is a high molecular weight poly(acrylic acid) dispersion available commercially from Union Carbide Chemicals and Plastics Company Inc., Danbury, Ct., as POLYSLIP® T-503M.

Example 1

10 An 8 French catheter made from an (ethylene-vinyl acetate) copolymer was coated according to the following procedure: the catheter was cut to 12 inches in length, wiped with Freon® and air dried for 5 minutes. The catheter was then dipped in Polyisocyanate Solution A for 15 30 seconds and air dried for 1 minute. The catheter was oven dried at 50°C for 30 minutes. Thereafter, the catheter was dipped in PEO Solution A for 1 second and allowed to air dry for 1 minute, and oven dried at 50°C for 10-1/2 hours. The coated catheter was dipped in a 20 distilled water bath for 10 seconds, sealed in a polyethylene bag, and irradiated under an electron beam source of 1.5 mrad. The catheter was lubricious, non-sticky, and was readily removed from the polyethylene bag.

25 Example 2

 An 8 French catheter was cut to 12 inches in length, wiped with Freon® and air dried for 5 minutes. The catheter was then dipped in Polyisocyanate Solution A for 30 seconds and air dried for 1 minute, followed by 30 drying in a forced air oven for 30 minutes at 50°C. Thereafter, the catheter was dipped in Poly(acrylic acid) Dispersion (i.e., POLYSLIP® T-503M) for one second and allowed to air dry for one minute before being dried in a forced air oven for 60 minutes at 50°C. The catheter was 35 then dipped in PEO Solution A for one second and allowed to air dry for 1 minute and then dried in a forced air oven at 50°C for 10-1/2 hours. The dried catheter was dipped in a distilled water bath for 10 seconds, sealed in

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a polyethylene bag, and irradiated under an electron-beam source for 1.5 mrad. The catheter was lubricious, non-sticky, and was readily removed from the polyethylene bag.

5 Example 3

Example 1 was repeated with the exception that the water swollen, coated catheter was irradiated for 2.5 instead of 1.5 mrad. The catheter was lubricious, non-sticky, and was readily removed from the polyethylene bag.

10

Comparative Example A

Example 1 was repeated with the exception that the catheter was not irradiated. When wet with water, the coating was lubricious but sticky and loosely adhered to
15 the catheter.

Comparative Example B

An 8 French catheter was cut to 12 inches in length, wiped with Freon® and air dried for 5 minutes.
20 The catheter was then dipped in PEO Solution A for one second, air dried for one minute and then dried in a forced air oven for 10-1/2 hours. The dried catheter was dipped in a distilled water bath for 10 seconds, sealed in a polyethylene bag, and irradiated under an electron-beam
25 source for 1.5 mrad. The catheter's coating was lubricious but sticky and loosely adhered to the catheter.

Example 4

Example 2 was repeated with the exception that
30 the water swollen, coated catheter was irradiated for 2.5 instead of 1.5 mrad. The catheter was lubricious, non-sticky, and was readily removed from the polyethylene bag.

Comparative Example C

35 Comparative Example B was repeated with the exception that the water swollen, coated catheter was irradiated with 2.5 instead of 1.5 mrad. The catheter was

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lubricious but the coating exhibited marginal adhesion to the catheter.

Comparative Example D

- 5 Comparative Example B was repeated with the exception that the PEO coated catheter was not irradiated. The coating was lubricious but somewhat sticky when wet and loosely adhered.

10 Example 5

- The hydrophilicity, lubricity and abrasion resistance of the coated catheters prepared in Example 1, and Comparative Examples A, B and D were measured and compared. The hydrophilic lubricity was measured using an
15 incline-platform tester as described in European Patent 166,998 (January 1, 1986). The results are expressed as frictional coefficients which are equal to the tan θ of the measured angles. The smaller the angle, the smaller the frictional coefficient, the better the lubricity.
20 Abrasion resistance of the coating was measured by abrading the wet catheter through a spherical hole punched in a rubbery membrane. The catheter is abraded through the hole ten times. (The diameter of the hole is slightly smaller than the outside diameter of the uncoated
25 catheter). The frictional coefficient of the abraded sample was measured; the results are reported below.

Table I

30 Example	Radiation Dosage, mrad	Frictional Coeff.		Wet Coating Removed by Abrasion, mg*
		Before Abrasion	After Abrasion	
1	1.5	0.06	0.06	0
35 A	-	0.18	0.18	144
B	1.5	0.07	0.11	30
D	-	>0.2	>0.2	146

*Per a 12-inch catheter weighing 1.0 gm dry weight.

40

The wet coating removed from the catheter during abrasion was collected and weighed; the results are listed

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in the last column of Table I. The wet coating collected in this Example was found to contain more than 90% by weight water.

Comparative Example D demonstrates the poor coating quality and a lack of abrasion resistance of PEO coating applied directly from PEO Solution A. Although Comparative Example B illustrates some benefit of irradiating the PEO coating in the presence of water, the abrasion resistance was still unsatisfactory. Comparative Example A also demonstrates slightly better performance than Example D, but the abrasion resistance was still unsatisfactory. Example 1 demonstrates the advantage of the current invention in that the finished coating exhibits both abrasion resistance and good lubricity.

15

Example 6

The lubricity and abrasion resistance of the coated catheters prepared in Example 2 were determined. The results are given in Table II which also includes the test results for the coated catheters of Comparative Examples B and D.

Table II

Example	Radiation Dosage, mrad	Frictional Coeff.		Wet Coating
		Before Abrasion	After Abrasion	Removed by Abrasion, mg*
2	1.5	0.05	0.06	3
B	1.5	0.07	0.11	30
D	-	>0.2	>0.2	146

*Per a 12-inch catheter weighing 1.0 gm dry weight.

35

The above results again demonstrate the advantage of the current invention. The coating prepared in Example 2 exhibits improved abrasion resistance and a high degree of lubricity. The coatings of Comparative Examples B, and D demonstrated poor abrasion resistance.

Comparative Example E

Comparative Example B was repeated with the

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exception that PEO Solution B was substituted for PEO Solution A. The finished coating was lubricious but lacking in abrasion resistance (see Table V below).

5 Comparative Example F

Comparative Example E was repeated with the exception that a higher radiation dosage was employed (2.5 mrad). The finished coating was lubricious but exhibited unsatisfactory abrasion resistance.

10

Examples 7-9

These Examples illustrate the performance characteristics of coatings prepared according to Example 1 but using different concentrations of PEO Solution A as well as different radiation dosages.

Table III

20	Concentration of PEO Solution	Radiation Dosage,	Frictional Coeff. Before	Frictional Coeff. After	Wet Coating Removed by
<u>Example</u>	<u>Used, %</u>	<u>mrads</u>	<u>Abrasion</u>	<u>Abrasion</u>	<u>Abrasion, mg</u>
1	2	1.5	0.06	0.06	0
25 7	2	2.5	0.06	0.05	0
8	3	1.5	0.05	0.05	<1
9	3	2.5	0.06	0.05	0

30 In all cases, the finished coatings exhibit a combination of satisfactory abrasion resistance and a low frictional coefficient.

Examples 10-12

35 These Examples illustrate the performance characteristics of coatings prepared in accordance with the procedure of Example 2, except that different concentrations of Poly(acrylic acid) Dispersion ("PAA") and different radiation dosages were used. The particular
40 concentrations of PAA and radiation dosages used, as well as the results are given in Table IV.

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Table IV

5	Concentration of PAA Solution	Radiation Dosage, mrad	Frictional Coeff. Before Abrasion	Frictional Coeff. After Abrasion	Wet Coating Removed by Abrasion, mg
Example	Used, %				
2	2	1.5	0.05	0.06	3
10	2	2.5	0.06	0.05	0
10 11	3	1.5	0.05	0.05	<1
12	3	2.5	0.06	0.05	0

In all cases, the coatings exhibit a combination of good abrasion resistance and high lubricity.

Comparative Example G

The following Examples compare the performance characteristics of irradiated PEO coatings which were coated on substrates without an initial polyisocyanate coating on the substrate. Comparative Example G was done following the procedure of comparative Example B except that a higher radiation dosage was employed.

25

Table V

30	Concentration of PEO Solution	Radiation Dosage, mrad	Frictional Coeff. Before Abrasion	Frictional Coeff. After Abrasion	Wet Coating Removed by Abrasion, mg
Example	Used, %				
B	2	1.5	0.07	0.11	30
G	2	2.5	0.08	>0.2	204
E	3	1.5	0.11	>0.2	237
35 F	3	2.5	0.14	>0.2	207

In all cases, the coatings exhibited poor abrasion resistance and poor lubricity.

40 Comparative Example H, Examples 13 and 14

The catheters used in Comparative Example H, Examples 13 and 14 were coated with PEO Solution C using procedures otherwise substantially the same as those described in Comparative Example B, Examples 1 and 2, respectively. The performance characteristics of the finished coatings are compiled in Table VI.

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Table VI

	Concentration of PEO Solution	Radiation Dosage, mrad	Frictional Coeff. Before Abrasion	Frictional Coeff. After Abrasion	Wet Coating Removed by Abrasion, mg
5 Example	Used, %				
H	1.5	1.5	0.08	>0.2	15
13	1.5	1.5	0.06	0.05	<1
10 14	1.5	1.5	0.05	0.05	2

These results demonstrate the improved performance of illustrative coatings which combine both an isocyanate coating and PEO coating before irradiation. Without the isocyanate coating (Comparative Example H), both the amount of coating removed from the catheter and the frictional coefficient is greatly increased by the abrasion.

Examples 15 and 16

Four, 12-inch long, 8 French catheters made from an (ethylene-vinyl acetate) copolymer were coated according to the following procedure: the catheters were first wiped with Freon® and air dried for five minutes. The catheters were then dipped in Polyisocyanate Solution A for 30 seconds and dried in an oven at 65°C for 30 minutes. The catheters were then dipped in Poly(acrylic acid) Dispersion (i.e., Polyslip® T-503M) for one second and dried in an oven at 65°C for one hour. The catheters were dipped in a 0.1 N sodium phosphate bath for one second and dried in an oven at 65°C for ten hours.

Two catheters were then irradiated with 2.5 mrads. The two other catheters were irradiated in the presence of water with the same dosage of radiation. The coefficient of friction before and after abrasion are presented below.

Table VII

	Radiation Dosage mrad	Water Content	Frictional Coeff. Before Abrasion	Frictional Coeff. After Abrasion
40 Example				
15	2.5	dry	0.04	0.03
16	2.5	wet	0.03	0.03

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The above results demonstrate that the method of the present invention provides a lubricious coating irrespective of whether the irradiation is conducted in the presence or absence of water.

5

Examples 17 and 18

A PVP solution was prepared by dissolving 2 grams of PVP K-90 (available from GAF Corporation) in 98 grams of methylene chloride.

10 Four, 12-inch long, 8 French catheters made from an (ethylene-vinyl acetate) copolymer were dipped in Polyisocyanate Solution A for 30 seconds. The catheters were then dipped in the PVP solution for one second and oven dried at 65°C for one hour. Two catheters were
15 irradiated in the presence of water and two catheters were irradiated while dry. The results are presented below.

Table VIII

20	Example	Radiation Dosage mrad	Water Content	Frictional	Frictional	Coating Removed by Abrasion, mg
				Coeff. Before Abrasion	Coeff. After Abrasion	
25	17	2.5	dry	0.05	0.07	0.0
	18	2.5	wet	0.07	0.08	0.0

The above results demonstrate the good abrasion resistance and lubricity of the coatings provided by the
30 method of the invention.

Example 19

Four groups of C-Flex catheters (Concept Polymer) were coated with a 1% poly(ethylene oxide) (Union Carbide
35 POLYOX® WSR N-750) solution in either methylene chloride or water.

In all of the trials the surface of the catheters was first prepared by wiping the catheter with a Freon® containing cloth and air drying for five minutes.

40 In Trials A and B the PEO solution was applied directly to the catheter and dried in a forced air oven at 60°C for 60 minutes. In Trial C, Polyisocyanate Solution

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C was applied to the catheter and dried in an oven at 60°C for 30 minutes prior to the application of the PEO solution. In Trial D, the catheter was dipped in Polyisocyanate Solution B and dried in a forced air oven at 60°C for 30 minutes. The catheter was next dipped in the Poly(acrylic acid) Dispersion and baked in a forced air oven at 60°C for 60 minutes. The catheter was then dipped in the PEO solution for ten seconds and dried in an oven at 60°C for 30 minutes.

10 The frictional coefficient of the catheters was measured before and after the abrasion test described below.

A coated catheter is rubbed with a piece of wet tissue (Kimwipes, Kimberly Clark) folded to about 2x2" in size and wrapped around the circumference of the catheter. Finger pressure is applied to the wet wrapping against the catheter surface, and the wrapping is pulled longitudinally from one end to the other. After ten rubs have been made, a pair of the abraded catheters are retested for frictional coefficient according to the procedure described above. Adhesion of the wet coating is judged by the extent of change in frictional coefficient after the abrasion test.

The frictional coefficients for the coated catheters of Example 19 are listed in Table IX.

Table IX

Trial	PEO + Other Coating(s) Applied	PEO Solvent	Frictional	Frictional
			Coeff. Before Abrasion	Coeff. After Abrasion
30 A	None	water	>0.2	>0.2
35 B	None	methylene chloride	>0.2	>0.2
40 C	Polyisocyanate	methyl ne chloride	0.12	>0.2
D	Polyisocyanate and Poly(acrylic acid)	water	0.06	0.06

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The catheters treated in Trials A and B had a high frictional coefficient before and after the abrasion test. This demonstrates that little or no poly(ethylene oxide) bonded to the surface of the catheters. The catheter
 5 treated in Trial C had a lower frictional coefficient initially but the frictional coefficient increased after the abrasion test. This loss of lubricity demonstrates a lack of adhesion of the poly(ethylene oxide) to the surface. In Trial D, the frictional coefficient was low
 10 and remained the same before and after the abrasion test demonstrating that the PEO was securely bonded to the catheter.

Example 20

15 Respective C-Flex catheters were coated with a 2% poly(vinyl pyrrolidone) (SIGNA PVP-360) solution. The PVP was dissolved in either methylene chloride or water.

Four catheters were coated using the same methods described in Example 19. In Trials A and B the respective
 20 PVP solutions were applied directly to the catheter. In Trial C, the PVP coating was applied after Polyisocyanate Solution C was applied to the catheter. In Trial D, Polyisocyanate Solution B and the Poly(acrylic acid) Dispersion were applied to the catheter prior to the
 25 application of the PVP solution.

The frictional coefficient of the catheters was measured before and after abrasion. The results are listed in Table X.

30

Table X

35 Trial	PEO + Other Coating(s) Applied	PVP Solvent	Frictional	Frictional
			Coeff. Before Abrasion	Coeff. After Abrasion
A	None	water	>0.2	>0.2
B	None	methylene chloride	>0.2	>0.2
40 C	Polyisocyanate	methylene chloride	>0.2	>0.2
D	Polyisocyanate and Poly(acrylic acid)	water	0.1	0.12

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The catheters treated in Trials A, B, and C had a high frictional coefficient before and after the abrasion test. This demonstrates that little or no PVP was bonded to the surface of the catheters. In Trial D the frictional coefficient of the catheter before the abrasion test was significantly lower than the other catheters tested. This result demonstrates that PVP was bonded to the catheter. The slight increase in the frictional coefficient after the abrasion test demonstrates that the PVP is firmly bonded to the catheter.

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We Claim:

1. A method for forming a hydrophilic polymeric coating on a substrate to provide a biocompatible surface having abrasion resistance said method comprising:
 - a) contacting a substrate with a solution of polyisocyanate in an inert solvent to provide at least a partially coated substrate;
 - 10 b) applying to the at least partially coated substrate a second coating comprising at least one polymer selected from the group consisting of a poly(alkylene oxide), a poly(carboxylic acid), and a poly(N-vinyl lactam), contained in a solvent to provide a multiple coated substrate; and
 - 15 c) curing the multiple coated substrate by means of ionizing radiation or heat or both; provided that when the multiple coated substrate is cured by heat, the second coating comprises a poly(carboxylic acid) and at least one polymer selected from the group consisting of a poly(alkylene oxide) and a poly(N-vinyl lactam).
- 25 2. The method of claim 1 in which the poly(alkylene oxide) is poly(ethylene oxide), the poly(carboxylic acid) is selected from the group consisting of poly(acrylic acid), poly(methacrylic acid) and their partially neutralized salts, and the poly(N-vinyl lactam) is poly(N-vinyl pyrrolidone).
- 30 3. The method of claim 1 in which at least one antimicrobial agent is incorporated in the polymeric complex.
- 35 4. The method of claim 1 in which the multiple coated substrate is dried.

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5. A method for forming a hydrophilic polymeric coating to provide a biocompatible surface having abrasion resistance, said method comprising:

- 5 a) contacting a substrate with a solution of a polyisocyanate in an inert solvent to provide at least a partially coated substrate;
- 10 b) applying to the at least partially coated substrate a second coating comprising a poly(alkylene oxide), a poly(carboxylic acid) a poly(N-vinyl lactam) or a mixture thereof, contained in a solvent to provide a multiple coated substrate; and
- 15 c) curing the multiple coated substrate by means of ionizing radiation.

6. The method of claim 5 in which the poly(alkylene oxide) is poly(ethylene oxide), the
20 poly(carboxylic acid) is selected from the group consisting of poly(acrylic acid), poly(methacrylic acid) and their partially neutralized salts, and the poly(N-vinyl lactam) is poly(N-vinyl pyrrolidone).

25 7. The method of claim 5 in which at least one antimicrobial agent is incorporated in the polymeric complex.

8. An article having a surface at least
30 partially covered with a hydrophilic polymeric coating which provides a biocompatible surface having good abrasion resistance, said article having the surface at least partially covered by the method comprising:

- 35 a) contacting a substrate with a solution of a polyisocyanate in an inert solvent to provide at least a partially coated article;

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- 5 b) applying to the at least partially
 coat d article a second coating
 comprising at least one of a
 poly(alkylene oxide), a poly(carboxylic
 acid), a poly(N-vinyl lactam) or a
 mixture thereof, contained in a solvent
 to provide a multiple coated article;
 and
- 10 c) curing the multiple coated article
 under a source of ionizing radiation or
 heat or both;
- 15 provided that when the multiple coated article is cured by
 heat, the second coating comprises a mixture of a
 poly(carboxylic acid) and at least one polymer selected
 from the group consisting of a poly(alkylene oxide) and a
 poly(N-vinyl lactam).

- 20 9. The article of claim 8 which is a medical
 device.
10. The article of claim 8 in which an
 antimicrobial agent is incorporated into the polymeric
 complex which at least partially covers the article.

INTERNATIONAL SEARCH REPORT

International Application No

PCT/US 91/09531

I. CLASSIFICATION OF SUBJECT MATTER (If several classification symbols apply, indicate all) ⁶		
According to International Patent Classification (IPC) or to both National Classification and IPC		
Int.Cl. 5 A61L29/00; C08J7/04		
II. FIELDS SEARCHED		
Minimum Documentation Searched ⁷		
Classification System	Classification Symbols	
Int.Cl. 5	A61L ; C08J	
Documentation Searched other than Minimum Documentation to the Extent that such Documents are Included in the Fields Searched ⁸		
III. DOCUMENTS CONSIDERED TO BE RELEVANT⁹		
Category ¹⁰	Citation of Document, ¹¹ with indication, where appropriate, of the relevant passages ¹²	Relevant to Claims No. ¹³
X	EP,A,0 106 004 (INTERNATIONAL SILICONE) 25 April 1984 see page 8, line 15; claims 1-6,10,22 ---	1-10
X	US,A,4 729 914 (TYNDALE PLAINS-HUNTER) 8 March 1988 see column 2, line 50 - line 55; claims 1,8-15 ---	1,2,4,8, 9
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A	EP,A,0 093 094 (ASTRA MEDITEC) 2 November 1983 ---	
<p>¹⁰ Special categories of cited documents:</p> <p>"A" document defining the general state of the art which is not considered to be of particular relevance</p> <p>"E" earlier document but published on or after the international filing date</p> <p>"L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)</p> <p>"O" document referring to an oral disclosure, use, exhibition or other means</p> <p>"P" document published prior to the international filing date but later than the priority date claimed</p> <p>"T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention</p> <p>"X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step</p> <p>"Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.</p> <p>"A" document member of the same patent family</p>		
IV. CERTIFICATE		
Date of the Actual Completion of the International Search	Date of Mailing of this International Search Report	
08 MAY 1992	19. 05. 92	
International Searching Authority	Signature of Authorized Officer	
EUROPEAN PATENT OFFICE	PELTRE CHR.	

**ANNEX TO THE INTERNATIONAL SEARCH REPORT
ON INTERNATIONAL PATENT APPLICATION NO. US 9109531
SA 55531**

This annex lists the patent family members relating to the patent documents cited in the above-mentioned international search report. The members are as contained in the European Patent Office EDP file on
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